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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Hwu

Serial No.: 10/001,281

Group Art Unit: 1614

Filed: November 30, 2001

Examiner: To Be Assigned

For: COMPOSITIONS AND METHODS FOR THE TREATMENT OF  
CANCER Attorney Docket No.: 9516-026-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

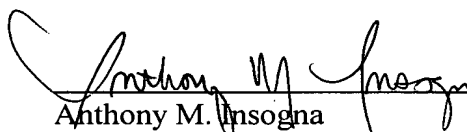
Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated December 19, 2001, copy of which is included, Applicants submit herewith a Declaration by the inventor, Wen-Jen Hwu, executed on February 7, 2002, and a Power of Attorney by Assignee and Exclusion of Inventor(s) under 37 C.F.R. §3.71 by James S. Quirk, Senior Vice-President, executed on February 6, 2002. Applicants also submit herewith an executed Assignment of rights in the above-identified application from the inventor, Wen-Jen Hwu, to Assignee, Memorial Sloan-Kettering Cancer Center.

In accordance with the Notice, the fee required for filing the Oath is \$65.00 (small entity). A Verified Statement Claiming Small Entity Status was filed on January 17, 2002. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date 2/11/02

  
Anthony M. Insogna

35,203  
(Reg. No.)

Enclosures

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,281	11/30/2001	Wen-Jen Hwu	9516-026-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

CONFIRMATION NO. 2126

## FORMALITIES LETTER



\*OC000000007214209\*

Date Mailed: 12/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

~~02/20/2002 FILING DATE 00000000 161150 10001201~~

~~01 FC:105 130.00 CH~~

*A copy of this notice **MUST** be returned with the reply.*

~~Adjusted date: 02/01/2002 EEXUBAY1  
02/20/2002 FILING DATE 00000000 161150 10001201  
01 FC:105 130.00 CH~~

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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~~Void date: 02/20/2002 FILING DATE  
02/20/2002 FILING DATE 00000000 161150 10001201  
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